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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/062,196	01/31/2002	Steven Teig	SPLX.P0113

CONFIRMATION NO. 9419

23349  
STATTLER JOHANSEN & ADELI  
P O BOX 51860  
PALO ALTO, CA 94303

## FORMALITIES LETTER



\*OC000000007572946\*

Date Mailed: 03/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

08/29/2002 MTEKLEMI 00000005 501128 10062196

The required item(s) identified below must be timely submitted to avoid abandonment:

01 FC:101 740.00 CH  
02 FC:105 130.00 CH

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

06/18/2002 KBERHE 00000029 10062196

01 FC:101  
02 FC:105

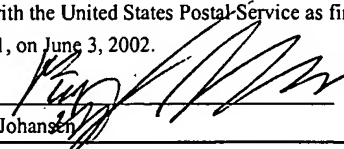
740.00 OP  
130.00 OP



PATENT  
Docket No. SPLX.P0113

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on June 3, 2002.

  
Dag Johansen

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Steven Teig, et al.

Serial No.: 10/062,196

Filing Date: 1/31/02

For: METHOD AND APPARATUS FOR  
CREATING AN EXTRACTION  
MODEL USING BAYESIAN  
INFERENCE IMPLEMENTED WITH  
THE HYBRID MONTE CARLO  
METHOD

Examiner: <not yet assigned>

Group Art Unit:

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under  
37 C.F.R. § 1.53(b) dated 3/4/02, attached please find:

1. Notice to File Missing Parts of Nonprovisional Application – Part 2; Method and Apparatus for Creating An Extraction Model Using Bayesian Inference Implemented With The Hybrid Monte Carlo Method.
2. A combined Declaration and Power of Attorney signed by the inventor and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).

3. A Petition for Extension of Time.
4. A Credit Card Payment Form.
5. A Return Receipt Post Card.

☐ The Applicant hereby claims small entity status.

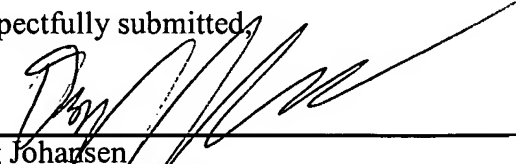
The filing fee has been calculated as follows:

FOR	Number of claims - Number paid by base fee	Number of extra claims	Extra claim rate	CALCULATIONS
TOTAL CLAIMS	20 - 20 = 0	0	x \$18	\$0
INDEPENDENT CLAIMS	2 - 3 = -1	0	x \$84	\$0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270	\$0
EXTENSION FOR REPLY WITHIN FIRST MONTH:				\$110
SURCHARGE FOR FILING MISSING PARTS				\$130
			BASIC FEE	\$740
			TOTAL =	\$980

☒ A credit card payment form is attached.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 50-1128** referencing SPLX.P0113.

Dated: June 3, 2002

Respectfully submitted,  
  
 By: Dag Johansen  
 Registration No. 36,172

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